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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

09/802,661

Mar 09, 2001

NGUYEN, KIM T

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Filing Date

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

First Named Inventor	Blanco	
Group Art Unit	3713	

Application Number

Examiner Name

Attorney Docket Number MS1-770US

Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Sheets Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Return Receipt Post Card; PTO Form **Express Abandonment Request** 1449; (3) references Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ 22801 Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Steven R. Sponseller, Reg. No. 39,384 Individual name Signature Date

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FEE TRANSMITTAL for FY 2004

(\$) 180.00

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Steven R. Sponseller

Name (Print/Type)

Signature

C	Complete if Known			
Application Number	09/802,661			
Filing Date	Mar 09, 2001			
First Named Inventor	Blanco			
Examiner Name	NGUYEN, KIM T			
Art Unit	3713			
Attorney Docket No.	MS1-770US			

METHOD OF PAYMENT (check all that apply)						FE	E CALCULATION (continued)	
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Number Deposit			1052	50	2052		Surcharge - late provisional filing fee or	
Account Name	Lee & Hayes, PLLC		1032	30	2032	25	cover sheet	
	authorized to: (check all that apply)		1053	130	1053		Non-English specification	
Charge fee(s)) indicated below	rpayments		2,520			For filing a request for ex parte reexamination	
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	FEE CALCULATION		1251	110	2251	55	Extension for reply within first month Extension for reply within second month	
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	003 265 Plant filing fee		1402	330	2402		Filing a brief in support of an appeal	
	004 385 Reissue filing fee		1403	290	2403		Request for oral hearing	
1005 160 20	005 80 Provisional filing fee			1,510	1451		Petition to institute a public use proceeding	
·	SUBTOTAL (1) (\$) 0.00	0	1452	110	2452		Petition to revive - unavoidable	
2. EXTRA CL	AIM FEES FOR UTILITY AND	REISSUE		1,330	2453		Petition to revive - unintentional	
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Total Claims	-20** = X		1503	640	2502		Plant issue fee	
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Fee Fee Code (\$)	Fee Fee <u>Fee Description</u> Code (\$)		8021	40	802		Recording each patent assignment per	
1202 18	2202 9 Claims in excess of 20						property (times number of properties)	
1201 86	2201 43 Independent claims in exc	cess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290	2203 145 Multiple dependent claim	if not paid	1810	770	2810	385	For each additional invention to be	
1204 86	2204 43 ** Reissue independent of	laims					examined (37 CFR 1.129(b))	
	over original patent		1801	770	2801		Request for Continued Examination (RCE)	
1205 18	2205 9 ** Reissue claims in exce and over original patent		1802	900	1802	900	Request for expedited examination of a design application	
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**or number p	previously paid, if greater; For Reissues, s		*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 180.0	0
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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AT 3713/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No	
Filing Date	
Confirmation No.	
Inventorship	Blanco
Applicant	Microsoft Corporation
Group Art Unit	3713
Examiner	NGUYEN, KIM T
Attorney's Docket No.	MS1-770US
Title: Method and Apparatus for Creating and Playing	

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

To: Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)

Lee & Hayes, PLLC

421 W. Riverside Avenue, Suite 500

Spokane, WA 99201

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: \$-05-04

Steven R. Sponseller Reg. No. 39,384

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

Complete if Known				
Application Number	09/802,661	_		
Filing Date	Mar 09, 2001			
First Named Inventor	Blanco			
Group Art Unit	3713			
Examiner Name	NGUYEN, KIM T			
Attorney Docket Number	MS1-770US			

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	I Number	iment d Code² known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5592651	I	Rackman et al.	01/07/1997	
		20010004609		Walker et al.	06/21/2001	
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	FOREIGN PATENT DOCUMENTS									
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



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INFORMATION DISCLOSURE **STATEMENT BY APPLICANT**

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Sheet 2 of

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Application Number	09/802,661			
Filing Date	Mar 09, 2001			
First Named Inventor	Blanco			
Group Art Unit	3713			
Examiner Name	NGUYEN, KIM T			
Attorney Docket Number	MS1-770US			

	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the			
Examiner Initials*	Cite No.1	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		STALLINGS; Cryptography and network security: Principles and practice, SEcond Edition, Prentice Hall 1999; pages 330 333-338.		
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